# Tab A

### UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF TEXAS FORT WORTH DIVISION

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§	Civil Action No. 4:17-cv-00403-Y
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	<b>§</b>

### **DECLARATION OF JAMES R. TANNER**

#### James R. Tanner declares as follows:

- 1. "My name is James R. Tanner but I am known to most people as 'Rod' Tanner. I am competent to make this sworn declaration, and this declaration is based on my personal knowledge of the facts stated below.
- 2. "I am an attorney licensed to practice law in the State of Texas. I am representing all defendants other than Martha Kinard in the above-captioned litigation.
- 3. "All documents identified below are documents that I received in connection with my representation of Defendant Fort Worth Stage Employees Local 126 with regard to this civil action, certain unfair labor practice charges that Plaintiff J. Wesley Jones filed with the National Labor Relations Board, and an employment discrimination charge that Mr. Jones filed with the U.S. Equal Opportunity Commission. All such documents were created and received by me before Jones filed this civil action. I am a custodian of all such documents in that they are now part of my case file pertaining to this matter.
  - 4. True and correct copies of the following documents from my case file on this

matter are included in the Appendix to the IATSE Defendants Motion to Dismiss Complaint in the above-captioned matter at the tabs specified below.

### 5. Appendix Tab B

- a. NLRB Charge in Case No. 16-CB-169743 (Charge 1) [p. 6]
- b. Letter dated May 31, 2016 from Martha Kinard to Sandy Wesley Jones [pp. 7-8]
- c. Letter dated June 17, 2016 from Mark E. Arbesfeld to Sandy Wesley Jones [p. 9-10]
- d. Letter dated August 9, 2016 from Deborah M.P. Yaffe to Sandy Wesley
   Jones [pp. 11-12]
- e. Letter dated September 8, 2016 from Mark E. Arbesfeld to Sandy Wesley

  Jones [pp. 13-14]

### 6. Appendix Tab C

- a. NLRB charge in Case No. 16-CB-177604 (Charge 2) [p. 16]
- b. Letter dated September 29, 2016 from Martha Kinard to Sandy Wesley

  Jones [pp. 17-19]
- c. Letter dated October 21, 2016 from Mark E. Arbesfeld to Sandy Wesley

  Jones [pp. 20-21]
- d. Letter dated February 23, 2017 from Mark E. Arbesfeld to Sandy Wesley
   Jones [pp. 22-23]

### 7. Appendix Tab D

- a. NLRB charge in Case No. 16-CB-190082 (Charge 3) [p. 25]
- b. Letter dated March 28, 2017 from Martha Kinard to Sandy Wesley Jones[pp. 26-27]
- c. Letter dated April 25, 2017 from Mark E. Arbesfeld to Sandy Wesley

Jones [pp. 28-29]

- d. Letter dated May 30, 2017 from Mark E. Arbesfeld to Sandy Wesley Jones
   [pp. 30-31]
- e. Letter dated June 23, 2017 from Mark E. Arbesfeld to Sandy Wesley Jones [pp.32-33]

### 8. Appendix Tab E

- a. NLRB charge in Case No. 16-CB-193266 (Charge 4) [p. 35]
- b. Letter dated May 22, 2017 from Timothy L. Watson to Sandy Wesley Jones[pp. 36-38]
- c. Letter dated June 22, 2017 from Mark E. Arbesfeld to Sandy Wesley Jones [pp. 39-40]

### 9. Appendix Tab F

- a. NLRB charge in Case No. 16-CB-195773 (Charge 5) [p. 42]
- b. Letter dated June 26, 2017 from Ofelia Gonzalez to Sandy Wesley Jones [pp. 43-44]
- c. Letter dated July 19, 2017 from Mark E. Arbesfeld to Sandy Wesley Jones [pp. 45-46]

### 10. Appendix Tab G - *Notice of Right to Sue* to Wesley S. Jones [p. 48]

The above statements are based on my personal knowledge, and I am competent to testify to the facts herein, all of which are true and correct. I declare under penalty of perjury in accordance with 28 U.S.C. § 1746 that the foregoing is true and correct.

Executed on July 28, 2017.

James R. Tanner

# Tab B

### Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 6 of 49 PageID 103

UNITED STATES OF AMERICA			DO NOT WI	RITE IN THIS SPACE	
NATIONAL LABOR RELATIONS BOARD		Cas		Date filed	
CHARGE AGAINST LABOR ORGANIZATION OR ITS AGENTS			5-CB-169743	2/12/2016	
INSTRUCTIONS: File an original of this charge with the NLRB Regional Director of the region in which the alleged unfair labor practice					
occurred or is occurring.  1. LABOR ORGANIZATION OR ITS AGENTS AGAINST WHICH CHARGE IS BROUGHT					
a. Name			b. Union Representative to Contact		
International Alliance of Theatrical and Stage Em	ployees,	DeeDee Freeman			
Local 126					
c. Address			el. No.	e. Cell No.	
PO Box 185178, Fort Worth, TX 76181-0178		(8	317)929-1926		
		f. F	ax No.	g. e-Mail	
		L			
h. The above-named labor organization or its agents have eng 8(b), subsection (2) of the National Labor Relations Act, and	aged in and are these unfair lah	engaç	jing in untair labor pract chices are unfair practic	ices within the meaning of section es affecting commerce within the	
meaning of the Act, or are unfair practices affecting commer	ce within the me	aning	of the Act and the Post	al Reorganization Act.	
2. Basis of the Charge (set forth a clear and concise statement					
Since about December 29, 2015, the above-name	_			· ·	
employment with the Fort Worth Stock Show and	Rodeo for re	easor	ns other than the fa	ilure to tender uniformly	
required initiation fees and periodic dues.					
3. Name of Employer			Tel. No.	4b. Cell No.	
Fort Worth Stock Show and Rodeo			317)877-2406 Fax No.	(817)877-2406 4d. e-Mail	
		46.	rax No.	40. E-Maii	
5. Location of Plant involved (street, city, state, and ZIP code)			6. Employer representative to contact		
3400 Burnett Tandy Dr. Fort Worth, TX 76107-30	56	Shanna Weaver Publicity Manager			
7. Type of Establishment (factory, mine, wholesaler) 8. I	8. Principal product		rvice	9. Number of Workers employed	
Rodeo	intertainment	1		5	
10. Full name of party filing charge		11a. Tel. No.		11b. Cell No.	
Sandy Wesley Jones		(817)896-2893		(817)896-2893	
		11c. Fax No.		11d e-Mail	
İ				emeraidmesa@yahoo.com	
		<u> </u>			
11. Address of party filing charge (street, city, state, and ZIP code)					
2802 Reese Ln, Azle, TX 76020-1524					
12. DECLARATION					
I declare that I have read the above charge and that	the statements	there	in are true to the best	of my knowledge and belief.  Tel No.	
10 10				iei No.	
BY: ANDY LIESLEY CNES					
511	Sandy Wes		Jones	(817)896-2893	
(signature of representative or person making charge)	Print/type name and title or office, if any			Cell No.	
	<u> </u>			(817)896-2893	
Address: 2902 Pages I p. Aria, TV 76020 4524			Date:	Fax.No	
2802 Reese Ln, Azle, TX 76020-1524			FEB 12	e-Mail	
•			2016	emeraldmesa@yahoo.com	
			000	2	

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE FUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1061)
PRIVACY ACT STATEMENT
Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seg. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

1-1593024601

REGION 16 819 Taylor Street, Room 8A24 Fort Worth, TX 76102-6107

Agency Website: www.nlrb.gov Telephone: (817)978-2921 Fax: (817)978-2928

May 31, 2016

MR. SANDY WESLEY JONES 2802 REESE LANE AZLE, TX 76020-1524

Re:

International Alliance of Theatrical and

Stage Employees, Local 126

(Fort Worth Stock Show and Rodeo)

Case No. 16-CB-169743

#### DEAR MR. JONES:

We have carefully investigated and considered your charge that International Alliance of Theatrical And Stage Employees, Local 126 has violated the National Labor Relations Act.

**Decision to Dismiss:** Based on that investigation, I have decided to dismiss your charge because there is insufficient evidence to establish a violation of the Act.

**Your Right to Appeal:** You may appeal my decision to the General Counsel of the National Labor Relations Board, through the Office of Appeals. If you appeal, you may use the enclosed Appeal Form, which is also available at <a href="www.nlrb.gov">www.nlrb.gov</a>. However, you are encouraged to also submit a complete statement of the facts and reasons why you believe my decision was incorrect.

Means of Filing: An appeal may be filed electronically, by mail, by delivery service, or hand-delivered. Filing an appeal electronically is preferred but not required. The appeal MAY NOT be filed by fax or email. To file an appeal electronically, go to the Agency's website at <a href="www.nlrb.gov">www.nlrb.gov</a>, click on E-File Documents, enter the NLRB Case Number, and follow the detailed instructions. To file an appeal by mail or delivery service, address the appeal to the General Counsel at the National Labor Relations Board, Attn: Office of Appeals, 1015 Half Street SE, Washington, DC 20570-0001. Unless filed electronically, a copy of the appeal should also be sent to me.

Appeal Due Date: The appeal is due on June 14, 2016. If the appeal is filed electronically, the transmission of the entire document through the Agency's website must be completed no later than 11:59 p.m. Eastern Time on the due date. If filing by mail or by delivery service an appeal will be found to be timely filed if it is postmarked or given to a delivery service no later than June 13, 2016. If an appeal is postmarked or given to a delivery service on the due date, it will be rejected as untimely. If hand delivered, an appeal must be received by the General Counsel in Washington D.C. by 5:00 p.m. Eastern Time on the appeal due date. If an appeal is not submitted in accordance with this paragraph, it will be rejected.

**Extension of Time to File Appeal:** The General Counsel may allow additional time to file the appeal if the Charging Party provides a good reason for doing so and the request for an extension

Case 4:17-cy-00403-Y Document 10-1 Filed 07/28/17 Page 8 of 49 PageID 105 International Alliance of Theatrical and

Stage Employees, Local 126 (Fort Worth Stock Show and Rodeo) Case No. 16-CB-169743

of time is **received on or before June 14, 2016.** The request may be filed electronically through the *E-File Documents* link on our website <u>www.nlrb.gov</u>, by fax to (202)273-4283, by mail, or by delivery service. The General Counsel will not consider any request for an extension of time to file an appeal received after **June 14, 2016**, **even if it is postmarked or given to the delivery service before the due date**. Unless filed electronically, a copy of the extension of time should also be sent to me.

Confidentiality: We will not honor any claim of confidentiality or privilege or any limitations on our use of appeal statements or supporting evidence beyond those prescribed by the Federal Records Act and the Freedom of Information Act (FOIA). Thus, we may disclose an appeal statement to a party upon request during the processing of the appeal. If the appeal is successful, any statement or material submitted with the appeal may be introduced as evidence at a hearing before an administrative law judge. Because the Federal Records Act requires us to keep copies of case handling documents for some years after a case closes, we may be required by the FOIA to disclose those documents absent an applicable exemption such as those that protect confidential sources, commercial/financial information, or personal privacy interests.

Very truly yours,

MARTHA KINARD REGIONAL DIRECTOR

#### Enclosure

cc:

MS. SHANNA WEAVER, PUBLICITY MANAGER FORT WORTH STOCK SHOW AND RODEO 3400 BURNETT TANDY DRIVE FORT WORTH, TX 76107-3056

MS. DEEDEE FREEMAN INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 POST OFFICE BOX 185178 FORT WORTH, TX 76181-0178

MR. ROD TANNER, ATTORNEY TANNER & ASSOCIATES, P.C. 6300 RIDGLEA PLACE, SUITE 407 FORT WORTH, TX 76116-5706



### UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

### OFFICE OF THE GENERAL COUNSEL

Washington, DC 20570

June 17, 2016

SANDY JONES 2802 REESE LN FORT WORTH, TX 76020-1524

Re:

International Alliance of Theatrical and

Stage Employees, Local 126 (Fort Worth

Stock Show and Rodeo) Case 16-CB-169743

Dear Ms. Jones:

We have received your appeal and accompanying material. We will assign it for processing in accordance with Agency procedures, which include review of the investigatory file and your appeal in light of current Board law. We will notify you and all other involved parties as soon as possible of our decision.

In your appeal you reference Case 16-CB-177604. That case is still under investigation by the Region. As the charge in Case 16-CB-177604 has not been dismissed or deferred by the Region, you do not have a current appeal right in that case. Accordingly, your appeal is limited to Case 16-CB-169743.

Sincerely,

Richard F. Griffin, Jr. General Counsel

By:

Mark E. Arbesfeld, Acting Director

Office of Appeals

MARTHA KINARD cc:

DEEDEE FREEMAN

### Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 10 of 49 PageID 107

International Alliance of Theatrical and Stage Employees, Local 126 (Fort Worth Stock Show and Rodeo) Case 16-CB-169743

-2

REGIONAL DIRECTOR NATIONAL LABOR RELATIONS BOARD 819 TAYLOR ST RM 8A24 FORT WORTH, TX 76102-6107

ROD TANNER, ESQ. TANNER & ASSOCIATES, PC 6300 RIDGLEA PL STE 407 FORT WORTH, TX 76116-5706 INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 PO BOX 185178 FORT WORTH, TX 76181-0178

SHANNA WEAVER
PUBLICITY MANAGER
FORT WORTH STOCK SHOW AND
RODEO
3400 BURNETT TANDY DR
FORT WORTH, TX 76107-3056

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### 4:17-qv-00403-Y Document 10-1 Filed 07/28/17 Page 11 of 49 PageID 108

Case 4:17-0

### UNITED STATES GOVERNMENT

### NATIONAL LABOR RELATIONS BOARD

OFFICE OF THE GENERAL COUNSEL

Washington, DC 20570

August 9, 2016

SANDY WESLEY JONES 2802 REESE LN AZLE, TX 76020-1524

Re:

International Alliance of Theatrical and

Stage Employees, Local 126

(Fort Worth Stock Show and Rodeo)

Case 16-CB-169743

Dear Ms. Jones:

Your appeal from the Regional Director's refusal to issue complaint has been carefully considered. The appeal is denied. Contrary to your contentions on appeal, there was insufficient evidence to establish that the Union violated the National Labor Relations Act, as alleged, by failing to refer you to the Fort Worth Stock Show and Rodeo.

Although you allege that the Union did not refer you because you were a whistle blower, there was insufficient evidence to substantiate your claim. Rather, the evidence established that the Union did not refer you to the rodeo assignment because you were repeatedly warned not to take photographs in previous assignments and you neglected to heed these warnings. Moreover, since the Union operates a non-exclusive hiring hall and individuals may obtain employment by applying directly to employers, the duty of fair representation generally does not attach because the union lacked the power to put jobs out of reach of any employee. See *Carpenters Local 537 (E.I. du Pont)*, 419, 420 (1991). Notwithstanding this, there was insufficient evidence to establish that the Union was motivated by invidious, arbitrary or discriminatory considerations, rather than by the complaints of a hiring hall client. See, *Vaca v. Sipes*, 386 US 171 (1967).

With respect to your contention on appeal that you suspect the Union was involved in a conspiracy against you, there was insufficient evidence to substantiate your claim. It is well established that "mere suspicion cannot substitute for proof of an unfair labor practice." *Kings Terrace Nursing and Health Related Facility*, 229 NLRB 1180 (1977).

Finally, regarding your request for a hearing, this Agency does not issue a complaint or order a hearing unless there is a reasonable probability that a violation of the Act occurred. After investigating this matter, we concluded that there was no reasonable probability of finding a violation.

### Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 12 of 49 PageID 109

International Alliance of Theatrical and Stage Employees, Local 126 (Fort Worth Stock Show and Rodeo) Case 16-CB-169743

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As nothing further raised on appeal warrants a conclusion contrary to that of the Regional Director, further proceedings are unwarranted.

Sincerely,

Richard F. Griffin, Jr. General Counsel

Deboroh M.P. Yaffe

By:

Deborah M.P. Yaffe, Director Office of Appeals

MARTHA KINARD
REGIONAL DIRECTOR
NATIONAL LABOR RELATIONS
BOARD
REGION 16
819 TAYLOR ST RM 8A24
FORT WORTH, TX 76102-6107

DEEDEE FREEMAN
INTERNATIONAL ALLIANCE OF
THEATRICAL AND STAGE
EMPLOYEES, LOCAL 126
PO BOX 185178
FORT WORTH, TX 76181-0178

SHANNA WEAVER
PUBLICITY MANAGER
FORT WORTH STOCK SHOW AND
RODEO
3400 BURNETT TANDY DR
FORT WORTH, TX 76107-3056

ROD TANNER, ESQ. TANNER & ASSOCIATES, PC 6300 RIDGLEA PL STE 407 FORT WORTH, TX 76116-5706

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cc:



### UNITED STATES GOVERNMENT

### NATIONAL LABOR RELATIONS BOARD

OFFICE OF THE GENERAL COUNSEL

Washington, DC 20570

September 8, 2016

SANDY WESLEY JONES 2802 REESE LN AZLE, TX 76020-1524

Re:

International Alliance of Theatrical and

Stage Employees, Local 126 (Fort Worth

Stock Show and Rodeo) Case 16-CB-169743

Dear Ms. Jones:

We must reject your request for reconsideration of our decision denying your appeal as untimely filed. Section 102.19(c) of the Board's *Rules and Regulations* provides in pertinent part:

A motion for reconsideration of the decision must be filed within 14 days of service of the decision, except as hereinafter provided, and shall state with particularity the error requiring reconsideration. A motion for reconsideration based upon newly discovered evidence which has become available only since the decision on appeal shall be filed promptly on discovery of such evidence.

Accordingly, since we received your motion on September 6, 2016 and it was mailed on or after August 29, 2016, more than 14 days after our decision of August 9, 2016 and nothing in your motion constituted "newly discovered evidence" within the meaning of Section 102.19(c), we consider this matter closed.

Sincerely,

Richard F. Griffin, Jr. General Counsel

By:

Mark E. Arbesfeld, Deputy Director Office of Appeals

Mark E. Abestell

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### Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 14 of 49 PageID 111

International Alliance of Theatrical and Stage Employees, Local 126 (Fort Worth Stock Show and Rodeo) Case 16-CB-169743

-2

cc: MARTHA KINARD
REGIONAL DIRECTOR
NATIONAL LABOR RELATIONS
BOARD
819 TAYLOR ST RM 8A24
FORT WORTH, TX 76102-6107

SHANNA WEAVER
PUBLICITY MANAGER
FORT WORTH STOCK SHOW AND
RODEO
3400 BURNETT TANDY DR
FORT WORTH, TX 76107-3056

DEEDEE FREEMAN
INTERNATIONAL ALLIANCE OF
THEATRICAL AND STAGE
EMPLOYEES, LOCAL 126
P.O. BOX 185178
FORT WORTH, TX 76181-0178

ROD TANNER, ESQ. TANNER & ASSOCIATES, PC 6300 RIDGLEA PL STE 407 FORT WORTH, TX 76116-5706

kf

# Tab C

Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 16 of 49 PageID 113 UNITED STATES OF AMERICA DO NOT WRITE IN THIS SPACE NATIONAL LABOR RELATIONS BOARD Date filed Case **CHARGE AGAINST LABOR ORGANIZATION OR ITS** 16-CB-177604 6-1-2016 **AGENTS** INSTRUCTIONS: File an original of this charge with the NLRB Regional Director of the region in which the alleged unfair labor practice occurred or is occurring. 1. LABOR ORGANIZATION OR ITS AGENTS AGAINST WHICH CHARGE IS BROUGHT a Name b. Union Representative to Contact International Alliance of Theatrical and Stage Employees. Molly Faulk **President** c. Address d. Tel. No. e. Cell No. PO Box 185178, Fort Worth, TX 76181 (817)296-6030 f. Fax No. q. e-Mail h. The above-named labor organization or its agents have engaged in and are engaging in unfair labor practices within the meaning of section 8(b), subsection(s) (1)(A) of the National Labor Relations Act, and these unfair labor practices are unfair practices affecting commerce within the meaning of the Act, or are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices) Since about February 2016, the above-named labor organization has restrained and coerced employees in the exercise of rights protected by Section 7 of the Act by harassing member Sandy Wesley Jones in retaliation for filing charges with the National Labor Relations Board. 3. Name of Employer 4a. Tel. No. 4b. Cell No. Bass Music Hall (Mamma Mia Production) (817) 212-4300 4c. Fax No. 4d. e-Mail 5. Location of Plant involved (street, city, state, and ZIP code) 6. Employer representative to contact Steve Pruitt WI 525 Commerce St. Fort Worth, TX 76102 7. Type of Establishment (factory, mine, wholesaler) 8. Principal product or service 9. Number of Workers employed Performing Arts Hall Entertainment 10. Full name of party filing charge 11a, Tel, No. 11b. Cell No. Sandy Wesley Jones (817)896-2893 (817)896-2893 11c. Fax No. 11d e-Mail emeraldmesa@yahoo.com 11. Address of party filing charge (street, city, state, and ZIP code) 2802 Reese Ln, Azle, TX 76020 12. DECLARATION I declare that I have read the above charge and that the statements therein are true to the best of my knowledge and belief. Tel No. Sandy Wesley Jones (817)896-2893 (signature of representative or person making charge) Print/type name and title or office, if any Cell No. (817)896-2893 Address: Fax No. 2802 Reese Ln, Azle, TX 76020 6-1-16

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

emeraldmesa@yahoo.com

## 17 CUMPALO-STATES TO THE RIMENT 07/28/17 Page 17 of 49 PageID 114 NATIONAL LABOR RELATIONS BOARD

REGION 16 819 Taylor Street, Room 8A24 Fort Worth, TX 76102-6107

Agency Website: www.nlrb.gov Telephone: (817)978-2921 Fax: (817)978-2928

September 29, 2016

MR. SANDY WESLEY JONES 2802 REESE LANE AZLE, TX 76020

Re:

International Alliance of Theatrical and

Stage Employees, Local 126

(Bass Music Hall

(Mamma Mia Production)) Case No. 16-CB-177604

#### **DEAR MR. JONES:**

We have carefully investigated and considered your charge that International Alliance of Theatrical and Stage Employees, Local 126 has violated the National Labor Relations Act.

**Decision to Dismiss:** Your charge alleges that since about February 2016, the Union has restrained and coerced employees in the exercise of rights protected by Section 7 of the Act by harassing you in retaliation for filing charges with the Board, in violation of Section 8(b)(1)(A) of the Act.

In support of the charge, you presented evidence of incidents which you alleged to be harassment by Union agents.. The evidence shows that the productions during which these incidents occurred were with employers that have a non-exclusive hiring hall arrangement with the Union. As such, the Union does not have a duty of fair representation in the operation of their hiring hall or in representing employees at those productions.

Although you previously filed a charge against the Union with the Board, there is no evidence the Union retaliated against you for filing that charge or for engaging in any other protected, concerted activity. The Union has investigated several of these incidents and issued discipline to you and other members for violation of the Union's rules based on those investigations. The Union took these actions for legitimate, non-discriminatory, disciplinary reasons.

There is no evidence that your employment opportunities with employers that have an exclusive hiring hall arrangement with the Union have been impacted by the alleged harassment. Rather, the evidence shows that you have declined certain employment opportunities, including with employers that have an exclusive hiring hall arrangement. The Union did not violate the Act in accepting your decision to decline these employment opportunities.

For the reasons stated above, I am refusing to issue a Complaint in this matter.

International Alliance of Theatrical and Stage Employees, Local 126 (Bass Music Hall) (Mamma Mia Production) Case No. 16-CB-177604

Your Right to Appeal: You may appeal my decision to the General Counsel of the National Labor Relations Board, through the Office of Appeals. If you appeal, you may use the enclosed Appeal Form, which is also available at <a href="https://www.nlrb.gov">www.nlrb.gov</a>. However, you are encouraged to also submit a complete statement of the facts and reasons why you believe my decision was incorrect.

Means of Filing: An appeal may be filed electronically, by mail, by delivery service, or hand-delivered. Filing an appeal electronically is preferred but not required. The appeal MAY NOT be filed by fax or email. To file an appeal electronically, go to the Agency's website at <a href="www.nlrb.gov">www.nlrb.gov</a>, click on E-File Documents, enter the NLRB Case Number, and follow the detailed instructions. To file an appeal by mail or delivery service, address the appeal to the General Counsel at the National Labor Relations Board, Attn: Office of Appeals, 1015 Half Street SE, Washington, DC 20570-0001. Unless filed electronically, a copy of the appeal should also be sent to me.

Appeal Due Date: The appeal is due on October 13, 2016. If the appeal is filed electronically, the transmission of the entire document through the Agency's website must be completed no later than 11:59 p.m. Eastern Time on the due date. If filing by mail or by delivery service an appeal will be found to be timely filed if it is postmarked or given to a delivery service no later than October 12, 2016. If an appeal is postmarked or given to a delivery service on the due date, it will be rejected as untimely. If hand delivered, an appeal must be received by the General Counsel in Washington D.C. by 5:00 p.m. Eastern Time on the appeal due date. If an appeal is not submitted in accordance with this paragraph, it will be rejected.

Extension of Time to File Appeal: The General Counsel may allow additional time to file the appeal if the Charging Party provides a good reason for doing so and the request for an extension of time is received on or before October 13, 2016. The request may be filed electronically through the *E-File Documents* link on our website <a href="www.nlrb.gov">www.nlrb.gov</a>, by fax to (202)273-4283, by mail, or by delivery service. The General Counsel will not consider any request for an extension of time to file an appeal received after October 13, 2016, even if it is postmarked or given to the delivery service before the due date. Unless filed electronically, a copy of the extension of time should also be sent to me.

Confidentiality: We will not honor any claim of confidentiality or privilege or any limitations on our use of appeal statements or supporting evidence beyond those prescribed by the Federal Records Act and the Freedom of Information Act (FOIA). Thus, we may disclose an appeal statement to a party upon request during the processing of the appeal. If the appeal is successful, any statement or material submitted with the appeal may be introduced as evidence at a hearing before an administrative law judge. Because the Federal Records Act requires us to keep copies of case handling documents for some years after a case closes, we may be required by the FOIA

Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 19 of 49 PageID 116 - 3 -

International Alliance of Theatrical and Stage Employees, Local 126 (Bass Music Hall) (Mamma Mia Production) Case No. 16-CB-177604

September 29, 2016

to disclose those documents absent an applicable exemption such as those that protect confidential sources, commercial/financial information, or personal privacy interests.

Very truly yours,

MARTHA KINARD REGIONAL DIRECTOR

### Enclosure

MR. STEVE PRUITT cc: BASS MUSIC HALL (MAMMA MIA PRODUCTION) **525 COMMERCE STREET** FORT WORTH, TX 76102

> MS. MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 **POST OFFICE BOX 185178** FORT WORTH, TX 76181

> MR. ROD TANNER, ATTORNEY TANNER & ASSOCIATES, P.C. 6300 RIDGLEA PLACE, SUITE 407 FORT WORTH, TX 76116-5706



### UNITED STATES GOVERNMENT

### NATIONAL LABOR RELATIONS BOARD

OFFICE OF THE GENERAL COUNSEL

Washington, DC 20570

October 21, 2016

SANDY WESLEY JONES 2802 REESE LN AZLE, TX 76020

Re:

International Alliance of Theatrical and

Stage Employees, Local 126 (Bass Music

Hall (Mamma Mia Production))

Case 16-CB-177604

Dear Mr. Jones:

We have received your appeal and accompanying material. We will assign it for processing in accordance with Agency procedures, which include review of the investigatory file and your appeal in light of current Board law. We will notify you and all other involved parties as soon as possible of our decision.

On your appeal form you also list Case 16-CB-169743. The appeal in that case was denied by letter dated August 9, 2016 and your motion for reconsideration of the appeal was denied by letter dated September 8, 2016. As such you do not have an appeal right is Case 16-CB-169743. While this case may be reviewed for background purposes, your appeal in limited to Case 16-CB-177604.

Sincerely,

Richard F. Griffin, Jr. General Counsel

By:

Mark E. Arbesfeld, Acting Director Office of Appeals

Mark E. Abertell

### Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 21 of 49 PageID 118

International Alliance of Theatrical and Stage Employees, Local 126 (Bass Music Hall (Mamma Mia Production)) Case 16-CB-177604

-2

cc: MARTHA KINARD
REGIONAL DIRECTOR
NATIONAL LABOR RELATIONS
BOARD
819 TAYLOR ST RM 8A24
FORT WORTH, TX 76102-6107

STEVE PRUITT BASS MUSIC HALL (MAMMA MIA PRODUCTION) 525 COMMERCE ST FORT WORTH, TX 76102 MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 PO BOX 185178 FORT WORTH, TX 76181

ROD TANNER, ESQ. TANNER & ASSOCIATES, PC 6300 RIDGLEA PL STE 407 FORT WORTH, TX 76116-5706

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## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

OFFICE OF THE GENERAL COUNSEL

Washington, DC 20570

February 23, 2017

SANDY WESLEY JONES 2802 REESE LN AZLE, TX 76020

Re:

International Alliance of Theatrical and Stage

Employees, Local 126 (Bass Music Hall)

(Mamma Mia Production) Case 16-CB-177604

Dear Mr. Jones:

Your appeal from the Regional Director's refusal to issue complaint has been carefully considered. The appeal is denied substantially for the reasons in the Regional Director's letter of September 29, 2016. In that regard, notwithstanding your assertions and arguments made on appeal, we concluded that a departure from the Regional Director's decision was not warranted.

The Region's investigation revealed insufficient evidence to prove that you were harassed or retaliated against for filing previous charges with this Agency or for any other protected activity in which you may have engaged. The investigation instead disclosed that the complained-of actions occurred in response to perceived questions and concerns about your job performance. Moreover, and as stated by the Regional Director regarding the incident involving the alleged physical altercation, the investigation disclosed that the other individual involved was also disciplined. This discipline was issued after both of you had the opportunity to present evidence before the Union's E-Board. Therefore, we could not conclude that the Union discriminated against you or otherwise applied its hiring hall discipline rules in a disparate manner.

Finally, the appeal questions the adequacy of the Regional Office's investigation. A review of the scope and contents of the investigatory record, however, disclosed that the Regional Office investigation was conducted in accordance with the procedures applicable to all cases and was adequate to resolve the issues presented.

### Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 23 of 49 PageID 120

International Alliance of Theatrical and Stage Employees, Local 126 (Bass Music Hall) (Mamma Mia Production)
Case 16-CB-177604

-2

The evidence in its entirety, however, was insufficient to establish that the Union violated the Act, as alleged.

Sincerely,

Richard F. Griffin, Jr. General Counsel

By:

Mark E. Arbesfeld, Acting Director Office of Appeals

Mark E. Abertell

MARTHA KINARD
REGIONAL DIRECTOR
NATIONAL LABOR RELATIONS
BOARD
819 TAYLOR ST RM 8A24
FORT WORTH, TX 76102-6107

STEVE PRUITT
BASS MUSIC HALL
(MAMMA MIA PRODUCTION)
525 COMMERCE ST
FORT WORTH, TX 76102

ROD TANNER, ESQ. TANNER & ASSOCIATES, PC 6300 RIDGLEA PL STE 407 FORT WORTH, TX 76116-5706

MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 PO BOX 185178 FORT WORTH, TX 76181

kf

cc:

# Tab D

CHARGE AGAINST LABOR ORGANIZATIO	ON OR ITS	16-CB-190082	12/16/2016
INSTRUCTIONS: File an original of this charge with to occurred or is occurring.	he NLRB Region	al Director of the region in which	ch the alleged unfair labor practice
	N OR ITS AGENTS	AGAINST WHICH CHARGE IS BR	ROUGHT
a. Name INTERNATIONAL ALLIANCE OF THEATRI STAGE EMPLOYEES, LOCAL 126		b. Union Representative to MOLLY FAULK PRESIDENT	
c. Address PO BOX 185178, FORT WORTH, TX 76181		d. Tel. No. (817)296-6030	e. Cell No.
		f. Fax No.	g. e-Mail
<ul> <li>h. The above-named labor organization or its agents ha 8(b), subsection(s) (1)(A) of the National Labor Relatithe meaning of the Act, or are unfair practices affecting.</li> <li>2. Basis of the Charge (set forth a clear and concise states)</li> </ul>	ons Act, and these	e unfair labor practices are unfair in the meaning of the Act and the	practices affecting commerce within Postal Reorganization Act.
Since about October 31, the above-named lat in the exercise of rights protected by Section reasons that were arbitrary, discriminatory or 3. Name of Employer	7 of the Act by		
3. Name of Employer BASS MUSIC HALL		4a. 1ei, No.	40. Cell No.
		4c. Fax No.	4d. e-Mail
5. Location of Plant involved (street, city, state, and ZIP)	code)	6. Employer representative	e to contact
525 COMMERCE ST, FORT WORTH, TX 7			
7. Type of Establishment (factory, mine, wholesaler)		oduct or service	9. Number of Workers employed
Performing Arts Hall	Entertainn	nent	30
10. Full name of party filing charge		11a. Tel. No.	11b. Cell No.
SANDY WESLEY JONES		(817)896-2893	(817)896-2893
		11c. Fax No.	11d e-Mail emeraldmesa@yahoo.com
11. Address of party filing charge (street, city, state, and	ZIP code)		1
2802 REESE LN, AZLE, TX 76020	5555,		
	12. DECLA	RATION	
I declare that I have read the above charge an	d that the statem	ents therein are true to the bes	t of my knowledge and belief.
By: SANDYLLESEY JOHN	3		Tel No.
		Y WESLEY JONES	(817)896-2893
(signature of representative or person making charge)	Print/typ	e name and title or office, if any	Cell No.

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Address:

2802 REESE LN, AZLE, TX 76020

Date:

12-16-16

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

(817)896-2893

emeraldmesa@yahoo.com

Fax No.

e-Mail

REGION 16 819 Taylor Street, Room 8A24 Fort Worth, TX 76102-6107

Agency Website: www.nlrb.gov Telephone: (817)978-2921 Fax: (817)978-2928

March 28, 2017

MR. SANDY WESLEY JONES 2802 REESE LANE AZLE, TX 76020-1524

Re: International Alliance of Theatrical and Stage

Employees, Local 126 (Bass Music Hall)

Case No. 16-CB-190082

### **DEAR MR. JONES:**

We have carefully investigated and considered your charge that International Alliance of Theatrical and Stage Employees, Local 126 has violated the National Labor Relations Act.

**Decision to Dismiss:** Based on that investigation, I have decided to dismiss your charge because there is insufficient evidence to establish a violation of the Act.

Your Right to Appeal: You may appeal my decision to the General Counsel of the National Labor Relations Board, through the Office of Appeals. If you appeal, you may use the enclosed Appeal Form, which is also available at <a href="https://www.nlrb.gov">www.nlrb.gov</a>. However, you are encouraged to also submit a complete statement of the facts and reasons why you believe my decision was incorrect.

Means of Filing: An appeal may be filed electronically, by mail, by delivery service, or hand-delivered. Filing an appeal electronically is preferred but not required. The appeal MAY NOT be filed by fax or email. To file an appeal electronically, go to the Agency's website at <a href="https://www.nlrb.gov">www.nlrb.gov</a>, click on E-File Documents, enter the NLRB Case Number, and follow the detailed instructions. To file an appeal by mail or delivery service, address the appeal to the General Counsel at the National Labor Relations Board, Attn: Office of Appeals, 1015 Half Street SE, Washington, DC 20570-0001. Unless filed electronically, a copy of the appeal should also be sent to me.

Appeal Due Date: The appeal is due on April 11, 2017. If the appeal is filed electronically, the transmission of the entire document through the Agency's website must be completed no later than 11:59 p.m. Eastern Time on the due date. If filing by mail or by delivery service an appeal will be found to be timely filed if it is postmarked or given to a delivery service no later than April 10, 2017. If an appeal is postmarked or given to a delivery service on the due date, it will be rejected as untimely. If hand delivered, an appeal must be received by the General Counsel in Washington D.C. by 5:00 p.m. Eastern Time on the appeal due date. If an appeal is not submitted in accordance with this paragraph, it will be rejected.

Extension of Time to File Appeal: The General Counsel may allow additional time to file the appeal if the Charging Party provides a good reason for doing so and the request for an extension of time is received on or before April 11, 2017. The request may be filed electronically

Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 27 of 49 PageID 124

- 2 - March 28, 2017

International Alliance of Theatrical and Stage Employees, Local 126 (Bass Music Hall)
Case No. 16-CB-190082

through the *E-File Documents* link on our website <u>www.nlrb.gov</u>, by fax to (202)273-4283, by mail, or by delivery service. The General Counsel will not consider any request for an extension of time to file an appeal received after April 11, 2017, even if it is postmarked or given to the delivery service before the due date. Unless filed electronically, a copy of the extension of time should also be sent to me.

Confidentiality: We will not honor any claim of confidentiality or privilege or any limitations on our use of appeal statements or supporting evidence beyond those prescribed by the Federal Records Act and the Freedom of Information Act (FOIA). Thus, we may disclose an appeal statement to a party upon request during the processing of the appeal. If the appeal is successful, any statement or material submitted with the appeal may be introduced as evidence at a hearing before an administrative law judge. Because the Federal Records Act requires us to keep copies of case handling documents for some years after a case closes, we may be required by the FOIA to disclose those documents absent an applicable exemption such as those that protect confidential sources, commercial/financial information, or personal privacy interests.

Very truly yours,

MARTHA KINARD REGIONAL DIRECTOR

### **Enclosure**

cc: MS. MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 POST OFFICE BOX 185178 FORT WORTH, TX 76181-0178

> MR. ROD TANNER, ATTORNEY TANNER & ASSOCIATES, P.C. 6300 RIDGLEA PLACE, SUITE 407 FORT WORTH, TX 76116-5706

MR. STEVE PRUITT BASS MUSIC HALL 525 COMMERCE STREET FORT WORTH, TX 76102

### UNITED STATES GOVERNMENT

### NATIONAL LABOR RELATIONS BOARD

OFFICE OF THE GENERAL COUNSEL

Washington, DC 20570

April 25, 2017

SANDY WESLEY JONES 2802 REESE LN AZLE, TX 76020-1524

Re:

International Alliance of Theatrical and

Stage Employees, Local 126 (Bass Music

Hall)

Case 16-CB-190082

Dear Ms. Jones:

We have received your appeal and accompanying material. We will assign it for processing in accordance with Agency procedures, which include review of the investigatory file and your appeal in light of current Board law. We will notify you and all other involved parties as soon as possible of our decision.

In your supporting documents you also caption and reference Case 16-CB-177604. Our records indicate that by letter dated February 23, 2017 your appeal in that case was denied and you presently have a pending motion for reconsideration in Case 16-CB-177604. Therefore, while that case can be reviewed for background purposes your appeal in this matter is limited to Case 16-CB-190082.

Sincerely,

Richard F. Griffin, Jr. General Counsel

By:

Mark E. Arbesfeld, Acting Director Office of Appeals

Mark E. Abestell

### Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 29 of 49 PageID 126

International Alliance of Theatrical and Stage Employees, Local 126 (Bass Music Hall)

Case 16-CB-190082 -2

MARTHA KINARD cc:

REGIONAL DIRECTOR

NATIONAL LABOR RELATIONS

BOARD

819 TAYLOR ST RM 8A24

FORT WORTH, TX 76102-6107

STEVE PRUITT

**BASS MUSIC HALL** 

525 COMMERCE ST

FORT-WORTH, TX 76102

MOLLY FAULK, PRESIDENT

INTERNATIONAL ALLIANCE OF

THEATRICAL AND STAGE

EMPLOYEES, LOCAL 126

PO BOX 185178

FORT WORTH, TX 76181-0178

ROD TANNER, ESQ.

TANNER & ASSOCIATES, PC

6300 RIDGLEA PL STE 407

- FORT WORTH, TX-76116-5706 -

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## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

OFFICE OF THE GENERAL COUNSEL

Washington, DC 20570

May 30, 2017

SANDY WESLEY JONES 2802 REESE LN AZLE, TX 76020-1524

Re:

International Alliance of Theatrical and

Stage Employees, Local 126

(Bass Music Hall) Case 16-CB-190082

Dear Ms. Jones:

Your appeal from the Regional Director's refusal to issue complaint has been carefully considered. The appeal is denied. Your charge alleged that the Union unlawfully removed you from a hiring call for arbitrary and discriminatory reasons in violation of Section 8(b)(1)(A) of the National Labor Relations Act (Act). We have determined that the evidence was insufficient to establish that the Union removed you from the call because of any protected activity in which you may have engaged. Rather, the evidence revealed that you were removed due to reports of poor performance and work misconduct.

In addition, the investigation disclosed that following your removal, you were invited to respond to the allegations against you during a scheduled E-Board meeting, and that you declined that invitation. As a result, on December 4, 2016, the Union sent you a letter indicating that you were being removed from the work roster for failing to comply with required conditions related to the incident. In these circumstances, we could not conclude that the Union violated Section 8(b)(1)(A) of the Act, as alleged.

In your appeal, you raise issues related to allegations in Case No. 16-CB-177604. Those issues, however, are not properly before this office for review and a determination in conjunction with the present case.

While you questioned the adequacy of the investigation, a review of the Regional Office file reflected that this case was investigated in accordance with the procedures applicable to all cases and was adequate to resolve the issues presented by the charge. The evidence in its entirety, however, was insufficient to support a finding that the Union violated the Act, as alleged.

Finally, to the extent you are contending that you were denied access to the statements made by the Union during the Region's investigation of this matter, please be advised that this

International Alliance of Theatrical and Stage Employees, Local 126 (Bass Music Hall)
Case 16-CB-190082

-2

Agency does not provide such statements during the investigation of a case. If you wish to obtain any documents from this Agency, you must file a request pursuant to the Freedom of Information Act (FOIA). Section 102.117 of the Board's Rules and Regulations sets forth the Board's procedures for making such a request. The procedures provide, in part, that requests for records, documents, etc., must be in writing and reasonably describe the material sought. You may send the request to the Agency's Freedom of Information Officer at the above address. Your request must contain a statement assuming financial liability in full or to a specified amount for the cost of the search for the requested material, review, and duplication. The request may be filed electronically at <a href="https://www.nlrb.gov">www.nlrb.gov</a> using the <a href="https://www.nlrb.gov">E-FOIA Request Form</a>.

Accordingly, further proceedings on the captioned matter are unwarranted.

Sincerely,

Richard F. Griffin, Jr. General Counsel

By:

Mark E. Arbesfeld, Acting Director Office of Appeals

Mark & Abestell

MARTHA KINARD
REGIONAL DIRECTOR
NATIONAL LABOR RELATIONS
BOARD
819 TAYLOR ST RM 8A24
FORT WORTH, TX 76102-6107

STEVE PRUITT
BASS MUSIC HALL
525 COMMERCE ST
FORT WORTH, TX 76102

MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES LOCAL 126 PO BOX 185178 FORT WORTH, TX 76181-0178

ROD TANNER, ESQ. TANNER & ASSOCIATES PC 6300 RIDGLEA PL STE 407 FORT WORTH, TX 76116-5706

kf

cc:

Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 32 of 49 PageID 129



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

OFFICE OF THE GENERAL COUNSEL

Washington, DC 20570

**CORRECTED COPY** 

June 23, 2017

SANDY WESLEY JONES 2802 REESE LN AZLE, TX 76020-1524

Re:

International Alliance of Theatrical and

Stage Employees, Local 126

(Bass Music Hall) Case 16-CB-190082

Dear Mr. Jones:

We have received your motion for reconsideration in the captioned case. Please note that our processing of your motion requires us to disregard the purported copyright restrictions placed on your motion and any related documents. If this is unacceptable to you, please promptly withdraw your motion. In the event that you do not withdraw your motion, we will assign it for processing in accordance with Agency procedures, which include review of the investigatory file and your motion in light of current Board law. We will notify you and all other involved parties as soon as possible of our decision.

Sincerely,

Richard F. Griffin, Jr. General Counsel

By:

Mark E. Arbesfeld, Acting Director

Mark E. Abestell

Office of Appeals

### Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 33 of 49 PageID 130

International Alliance of Theatrical and Stage Employees, Local 126 (Bass Music Hall)
Case 16-CB-190082

-2

cc: MARTHA KINARD
REGIONAL DIRECTOR

NATIONAL LABOR RELATIONS

**BOARD** 

819 TAYLOR ST RM 8A24 FORT WORTH, TX 76102-6107

STEVE PRUITT BASS MUSIC HALL 525 COMMERCE ST FORT WORTH, TX 76102 MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES LOCAL 126 PO BOX 185178 FORT WORTH, TX 76181-0178

ROD TANNER, ESQ. TANNER & ASSOCIATES PC 6300 RIDGLEA PL STE 407 FORT WORTH, TX 76116-5706

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# Tab E

### Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 35 of 49 PageID 132

UNITED STATES OF AMERICA	DO NO	DO NOT WRITE IN THIS SPACE		
NATIONAL LABOR RELATIONS BOARD	Case	Date filed		
CHARGE AGAINST LABOR ORGANIZATION OR ITS AGENTS	16-CB-193266	2-14-2017		
INSTRUCTIONS: File an original of this charge with the NLRB Region occurred or is occurring.	ř			
LABOR ORGANIZATION OR ITS AGENTS				
a. Name INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126	b. Union Representati MOLLY FAULK PRESIDENT	ve to Contact		
c. Address PO BOX 185178, FORT WORTH, TX 76181	d. Tel. No. (817)296-6030	e. Cell No.		
	f. Fax No.	g. e-Mail		
<ul> <li>h. The above-named labor organization or its agents have engaged in and 8(b), subsection(s) (1)(A) of the National Labor Relations Act, and these the meaning of the Act, or are unfair practices affecting commerce within 2. Basis of the Charge (set forth a clear and concise statement of the facts)</li> </ul>	e unfair labor practices are un n the meaning of the Act and	fair practices affecting commerce within the Postal Reorganization Act.		
Since about the past six months, the above-named labor orgexercise of rights protected by Section 7 of the Act by refusir arbitrary or discriminatory reasons or in bad faith.				
3. Name of Employer  BASS MUSIC HALL	4a. Tel. No.	4b. Cell No.		

Name of Employer     BASS MUSIC HALL		4a. Tel. No.	4b. Cell No.		
		4c. Fax No.	4d. e-Mail		
5. Location of Plant involved (street, city, state, and ZIP code)		6. Employer representative to contact			
525 COMMERCE ST, FORT WORTH, TX 76102		STEVE PRUITT			
7. Type of Establishment (factory, mine, wholesaler)			t or service 9. Number of Workers employed		
Performing Arts Hall	Entertainment		30		
10. Full name of party filing charge		11a. Tel. No.	. 11b. Cell No.		
SANDY WESLEY JONES		(817)896-2893	(817)896-2893		
		11c. Fax No.	11d e-Mail		
			emeraldmesa@yahoo.com		
11. Address of party filing charge (street, city, state, and Z	IP code\				
and the configuration of the c	ir code)		_		
2802 REESE LN, AZLE, TX 76020	12. DECLARA	TION			
I declare that I have read the above charge and	that the statements	therein are true to the be			
1		1/	Tel No.		
BY: SANDY DETLEY ENS	3 SAND	y WESLEY bH	3		
By. Sindy Exercises.	SANDY W	ESLEY JONES	(817)896-2893		
(signature of representative or person making charge)	Print/type nar	me and title or office, if any	Cell No.		
			(817)896-2893		
Address:		Date:	Fax No.		
2802 REESE LN, AZLE, TX 76020		0 10 17			
		2-14-17	e-Mail		
		16	emeraldmesa@yahoo.com		
	30	1 3			

## WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

## UNITED STATES GOVERNMENT NATIONS/BOAR Bage 36 of 49 PageID 133

REGION 16
819 Taylor Street, Room 8A24
Fort Worth, TX 76102-6107

Agency Website: www.nlrb.gov Telephone: (817)978-2921 Fax: (817)978-2928

May 22, 2017

MR. SANDY WESLEY JONES 2802 REESE LANE AZLE, TX 76020-1524

Re: International Alliance of Theatrical and

Stage Employees, Local 126

(Bass Music Hall)

Case No. 16-CB-193266

### DEAR MR. JONES:

We have carefully investigated and considered your charge that International Alliance of Theatrical and Stage Employees, Local 126 has violated the National Labor Relations Act.

**Decision to Dismiss:** Based on that investigation, I have decided to dismiss your charge because there is insufficient evidence to establish a violation of the Act.

Your Right to Appeal: You may appeal my decision to the General Counsel of the National Labor Relations Board, through the Office of Appeals.

Means of Filing: An appeal may be filed electronically, by mail, by delivery service, or hand-delivered. To file electronically using the Agency's e-filing system, go to our website at <a href="https://www.nlrb.gov">www.nlrb.gov</a> and:

- 1) Click on E-File Documents;
- 2) Enter the NLRB Case Number; and,
- 3) Follow the detailed instructions.

Electronic filing is preferred, but you also may use the enclosed Appeal Form, which is also available at <a href="www.nlrb.gov">www.nlrb.gov</a>. You are encouraged to also submit a complete statement of the facts and reasons why you believe my decision was incorrect. To file an appeal by mail or delivery service, address the appeal to the General Counsel at the National Labor Relations Board, Attn: Office of Appeals, 1015 Half Street SE, Washington, DC 20570-0001. Unless filed electronically, a copy of the appeal should also be sent to me.

The appeal MAY NOT be filed by fax or email. The Office of Appeals will not process faxed or emailed appeals.

Appeal Due Date: The appeal is due on June 5, 2017. If the appeal is filed electronically, the transmission of the entire document through the Agency's website must be completed no later than 11:59 p.m. Eastern Time on the due date. If filing by mail or by delivery service an appeal will be found to be timely filed if it is postmarked or given to a delivery service no later

Intercation 4.17 Intercation 1.17 Interc

than June 4, 2017. If an appeal is postmarked or given to a delivery service on the due date, it will be rejected as untimely. If hand delivered, an appeal must be received by the General Counsel in Washington D.C. by 5:00 p.m. Eastern Time on the appeal due date. If an appeal is not submitted in accordance with this paragraph, it will be rejected.

Extension of Time to File Appeal: The General Counsel may allow additional time to file the appeal if the Charging Party provides a good reason for doing so and the request for an extension of time is received on or before June 5, 2017. The request may be filed electronically through the *E-File Documents* link on our website <a href="www.nlrb.gov">www.nlrb.gov</a>, by fax to (202)273-4283, by mail, or by delivery service. The General Counsel will not consider any request for an extension of time to file an appeal received after June 5, 2017, even if it is postmarked or given to the delivery service before the due date. Unless filed electronically, a copy of the extension of time should also be sent to me.

Confidentiality: We will not honor any claim of confidentiality or privilege or any limitations on our use of appeal statements or supporting evidence beyond those prescribed by the Federal Records Act and the Freedom of Information Act (FOIA). Thus, we may disclose an appeal statement to a party upon request during the processing of the appeal. If the appeal is successful, any statement or material submitted with the appeal may be introduced as evidence at a hearing before an administrative law judge. Because the Federal Records Act requires us to keep copies of case handling documents for some years after a case closes, we may be required by the FOIA to disclose those documents absent an applicable exemption such as those that protect confidential sources, commercial/financial information, or personal privacy interests.

Very truly yours,

TIMOTHY L. WATSON ACTING REGIONAL DIRECTOR

#### Enclosure

ce: MS. MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 POST OFFICE BOX 185178 FORT WORTH, TX 76181-0178

> MR. STEVE PRUITT BASS MUSIC HALL 525 COMMERCE STREET FORT WORTH, TX 76102

## UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

## APPEAL FORM

Date:

To: General Counsel

Attn: Office of Appeals	
National Labor Relations Board	
1015 Half Street SE	
Washington, DC 20570-0001	
Please be advised that an appeal is hereby taken to the General Counsel of the National Labor Relations Board from the action of the Regional Director in refusing to issue a complaint on the charge in	
International Alliance of Theatrical and Stage Employees, Local 126	
Case Name(s).	
Case No. 16-CB-193266	
Case No(s). (If more than one case number, include all case numbers in which appeal is taken.)	
(Signature)	



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

OFFICE OF THE GENERAL COUNSEL Washington, DC 20570

June 22, 2017

SANDY WESLEY JONES 2802 REESE LN AZLE, TX 76020-1524

Re: International Alliance of Theatrical and

Stage Employees, Local 126

(Bass Music Hall) Case 16-CB-193266

Dear Ms. Jones:

We have received your appeal and accompanying material. We will assign it for processing in accordance with Agency procedures, which include review of the investigatory file and your appeal in light of current Board law. We will notify you and all other involved parties as soon as possible of our decision.

Sincerely,

Richard F. Griffin, Jr. General Counsel

By:

Mark E. Arbesfeld, Acting Director Office of Appeals

Mark E. Abesteld

cc: TIM WATSON
REGIONAL DIRECTOR
NATIONAL LABOR RELATIONS
BOARD
819 TAYLOR ST RM 8A24
FORT WORTH, TX 76102-6107

MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 PO BOX 185178 FORT WORTH, TX 76181-0178

## Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 40 of 49 PageID 137

International Alliance of Theatrical and Stage Employees, Local 126 (Bass Music Hall) Case 16-CB-193266

-3

STEVE PRUITT BASS MUSIC HALL 525 COMMERCE ST FORT WORTH, TX 76102

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# Tab F

AGENTS INSTRUCTIONS: File an original of this charge with to occurred or is occurring.  1. LABOR ORGANIZATION		rs	Case 16-CB-195773		Date filed		
AGENTS INSTRUCTIONS: File an original of this charge with to occurred or is occurring.  1. LABOR ORGANIZATION	ON OR IT	rs	16-CB-195773	,	0/0//0015		
occurred or is occurring.  1. LABOR ORGANIZATION	The state of the s				3/24/2017		
				2			
	NORITS	AGENTS AGA					
a. Name			b. Union Representative to Contact				
INTERNATIONAL ALLIANCE OF THEATRICAL AND			MOLLY FAULK				
STAGE EMPLOYEES, LOCAL 126	PRESIDENT						
c. Address PO BOX 185178, FORT WORTH, TX 76181			d. Tel. No. (817)296-6030	)	e. Cell No.		
	f. Fax No.		g. e-Mail				
The above-named labor organization or its agents has 8(b), subsection(s) (1)(A) of the National Labor Relation the meaning of the Act, or are unfair practices affecting.  Basis of the Charge (set forth a clear and concise states).  Since about the past six months, the above-network of rights protected by Section 7 of the for arbitrary or discriminatory reasons or in basis.	ions Act, a ng commen tement of t	nd these unfa rce within the the facts cons bor organiz	ir labor practices are meaning of the Act at tituting the alleged un cation, has restrai	unfair pra nd the Po nfair labor ined and	actices affecting commerce within istal Reorganization Act.  practices)  d coerced employees in the		
		KEIAL	LIATION				
Name of Employer			4a. Tel. No.		4b. Cell No.		
			4c. Fax No.		4d. e-Mail		
5. Location of Plant involved (street, city, state, and ZIP code)			6. Employer representative to contact				
7. Type of Establishment (factory, mine, wholesaler) 8. Principal product			or service		9. Number of Workers employed		
10. Full name of party filing charge		<del></del>	11a, Tel. No.		11b. Cell No.		
SANDY WESLEY JONES			LI T. LIGHTIN COCKYTTIN LANGUAGE PER CINC		(817)896-2893		
			(817)896-2893		1		
			11c. Fax No.		11d e-Mail emeraldmesa@yahoo.com		
11. Address of party filing charge (street, city, state, and	ZIP code)	ــــــــــــــــــــــــــــــــــــــ					
2802 REESE LN, AZLE, TX 76020					*		
	12.	DECLARAT	ON				
I declare that I have read the above charge and	d that the	statements	therein are true to th	e best o	f my knowledge and belief.		
					Tel No.		
) (							
BY: SANDYWESEYLONE	3.	and the second					
SAINDYW			ESLEY JONES		(817)896-2893		
(signature of representative or person making charge) Print/type name			ne and title or office, if	any	Cell No. (817)896-2893		
Address: 2802 REESE LN, AZLE, TX 76020			Date:		Fax No.		
			3-24-	17	e-Mail emeraldmesa@yahoo.com		

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

REGION 16 819 Taylor Street, Room 8A24 Fort Worth, TX 76102-6107

Agency Website: www.nlrb.gov Telephone: (817)978-2921 Fax: (817)978-2928

June 26, 2017

MR. SANDY WESLEY JONES 2802 REESE LANE AZLE, TX 76020-1524

Re:

International Alliance of Theatrical and

Stage Employees, Local 126 Case No. 16-CB-195773

#### **DEAR MR. JONES:**

We have carefully investigated and considered your charge that International Alliance of Theatrical and Stage Employees, Local 126 has violated the National Labor Relations Act.

**Decision to Dismiss:** Based on that investigation, I have decided to dismiss your charge because there is insufficient evidence to establish a violation of the Act.

Your Right to Appeal: You may appeal my decision to the General Counsel of the National Labor Relations Board, through the Office of Appeals.

Means of Filing: An appeal may be filed electronically, by mail, by delivery service, or hand-delivered. To file electronically using the Agency's e-filing system, go to our website at www.nlrb.gov and:

- 1) Click on E-File Documents;
- 2) Enter the NLRB Case Number; and,
- 3) Follow the detailed instructions.

Electronic filing is preferred, but you also may use the enclosed Appeal Form, which is also available at <a href="www.nirb.gov">www.nirb.gov</a>. You are encouraged to also submit a complete statement of the facts and reasons why you believe my decision was incorrect. To file an appeal by mail or delivery service, address the appeal to the General Counsel at the National Labor Relations Board, Attn: Office of Appeals, 1015 Half Street SE, Washington, DC 20570-0001. Unless filed electronically, a copy of the appeal should also be sent to me.

The appeal MAY NOT be filed by fax or email. The Office of Appeals will not process faxed or emailed appeals.

Appeal Due Date: The appeal is due on July 10, 2017. If the appeal is filed electronically, the transmission of the entire document through the Agency's website must be completed no later than 11:59 p.m. Eastern Time on the due date. If filing by mail or by delivery service an appeal will be found to be timely filed if it is postmarked or given to a delivery service no later than July 9, 2017. If an appeal is postmarked or given to a delivery service on the due date,

Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 44 of 49 PageID 141

- 2 - June 26, 2017

International Alliance of Theatrical and Stage Employees, Local 126 Case No. 16-CB-195773

it will be rejected as untimely. If hand delivered, an appeal must be received by the General Counsel in Washington D.C. by 5:00 p.m. Eastern Time on the appeal due date. If an appeal is not submitted in accordance with this paragraph, it will be rejected.

Extension of Time to File Appeal: The General Counsel may allow additional time to file the appeal if the Charging Party provides a good reason for doing so and the request for an extension of time is received on or before July 10, 2017. The request may be filed electronically through the *E-File Documents* link on our website <a href="www.nlrb.gov">www.nlrb.gov</a>, by fax to (202)273-4283, by mail, or by delivery service. The General Counsel will not consider any request for an extension of time to file an appeal received after July 10, 2017, even if it is postmarked or given to the delivery service before the due date. Unless filed electronically, a copy of the extension of time should also be sent to me.

Confidentiality: We will not honor any claim of confidentiality or privilege or any limitations on our use of appeal statements or supporting evidence beyond those prescribed by the Federal Records Act and the Freedom of Information Act (FOIA). Thus, we may disclose an appeal statement to a party upon request during the processing of the appeal. If the appeal is successful, any statement or material submitted with the appeal may be introduced as evidence at a hearing before an administrative law judge. Because the Federal Records Act requires us to keep copies of case handling documents for some years after a case closes, we may be required by the FOIA to disclose those documents absent an applicable exemption such as those that protect confidential sources, commercial/financial information, or personal privacy interests.

Very truly yours,

OFELIA GONZALEZ
ACTING REGIONAL DIRECTOR

#### Enclosure

cc: MS. MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 POST OFFICE BOX 185178 FORT WORTH, TX 76181-0178

MR. ROD TANNER, ATTORNEY TANNER & ASSOCIATES, P.C. 6300 RIDGLEA PLACE, SUITE 407 FORT WORTH, TX 76116-5706



## UNITED STATES GOVERNMENT

### NATIONAL LABOR RELATIONS BOARD

OFFICE OF THE GENERAL COUNSEL

Washington, DC 20570

July 19, 2017

SANDY WESLEY JONES 2802 REESE LN AZLE, TX 76020-1524

F

:

Re:

International Alliance of Theatrical and

Stage Employees, Local 126 (No Employer

Named)

Case 16-CB-195773

Dear Mr. Jones:

cc:

We have received your appeal and accompanying material. We will assign it for processing in accordance with Agency procedures, which include review of the investigatory file and your appeal in light of current Board law. We will notify you and all other involved parties as soon as possible of our decision.

Sincerely,

Richard F. Griffin, Jr. General Counsel

By:

Mark E. Arbesfeld, Acting Director Office of Appeals

Mark E. Abertell

TIMOTHY L. WATSON
REGIONAL DIRECTOR
NATIONAL LABOR RELATIONS
BOARD
819 TAYLOR ST RM 8A24
FORT WORTH, TX 76102-6107

ROD TANNER, ESQ. TANNER & ASSOCIATES, PC 6300 RIDGLEA PL STE 407 FORT WORTH, TX 76116-5706

## Case 4:17-cv-00403-Y Document 10-1 Filed 07/28/17 Page 46 of 49 PageID 143

International Alliance of Theatrical and Stage Employees, Local 126 (No Employer Named) Case 16-CB-195773

-2

MOLLY FAULK, PRESIDENT INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES, LOCAL 126 PO BOX 185178 FORT WORTH, TX 76181-0178

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## Tab G

## Case 4:17-cv-00403 YIC DOOR MEDIT 10-0 Stilled 107/28/17 ON Rage 48 10 49 PageID 145

2802	ey S. Jones Reese Lane TX 76020		From: Dallas District Office 207 S. Houston St. 3rd Floor Dallas, TX 75202						
	On behalf of person(s) aggriev CONFIDENTIAL (29 CFR §16								
EEOC Charg	ge No.	EEOC Representative			Telephone No.				
		William C. Harrison,							
450-2017-	01716	Investigator			(214) 253-2744				
N	HE PERSON AGGRIEVED:		(See also	the additional information	on enclosed with this form.)				
Act (GINA): been issued of your rece	This is your Notice of Right is at your request. Your lawsueipt of this notice; or your right be different.)	the Americans with Disabilities A to Sue, issued under Title VII, the AD it under Title VII, the ADA or GINA in the sue based on this charge will be assessed since the filing of this above.	OA or GINA nust be fil be lost. (T	A based on the above-noted in a federal or state	umbered charge. It has court WITHIN 90 DAYS				
		passed since the filing of this charge			12 gard 4				
X		assed since the filing of this charge, ninistrative processing within 180 da			nlikely that the EEOC will				
X	The EEOC is terminating its processing of this charge.								
	The EEOC will continue to	process this charge.							
	er you receive notice that we l	t (ADEA): You may sue under the A	e. In this re	egard, <b>the paragraph n</b>	narked below applies to				
		case. Therefore, your lawsuit under of this Notice. Otherwise, your righ							
		handling of your ADEA case. However state court under the ADEA at this		days have passed since	e the filing of the charge,				
in federal or	state court within 2 years (3 y	the right to sue under the EPA (filing ears for willful violations) of the allegent 2 years (3 years) before you file	ed EPA und	derpayment. This mean					
If you file sui	it, based on this charge, pleas	e send a copy of your court complain	t to this off	ice.					
		On behalf	of the Con	nmission					
		1.11			FEB 2 3 2017				
Enclosures	s(s)	Shirley A. Ric District Di		1,	(Date Mailed)				
	IATSE Local 126 Attn: Diane Freeman, Busin P.O. Box 185178 Fort Worth, TX 76181	ness Agent							

#### **Certificate of Service**

In accordance with Fed. R. Civ. P. 5(b) and N.D. Tex. Local Rule 5.1, the undersigned attorney certifies that on July 28, 2017, a copy of the foregoing document was served on the Plaintiff, *pro se*, via electronic mail and U.S. First Class Mail at the addresses shown below.

J. Wesley (Sandy) Jones 2802 Reese Lane Azle, Texas 76020 emeraldmesa@yahoo.com

/s/ Rod Tanner
Rod Tanner